



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

TRANSMITTAL LETTER

Commissioner of Patents and Trademarks,

Attached please find an application for a US Patent, titled "Physical and Digital Secret Ballot Systems," comprised of the following:

- 1) \$380;
- 2) signed Declaration;
- 3) return post card;
- 4) 46 pages of specification;
- 5) 2 pages of claims (4 independent);
- 6) 1 page of abstract; and
- 7) 41 sheets comprising 41 figures.

This letter is also a request for small entity status and a certificate of express mailing today, 29 January 2001.

Cordially,

David Chaum
(818) 789 0816
(818) 512 1024



UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS
 UNITED STATES PATENT AND TRADEMARK OFFICE
 WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/771,537	01/29/2001	David Chaum	

CONFIRMATION NO. 9618

FORMALITIES LETTER



OC00000005973064

DAVID CHAUM
 14652 SUTTON ST.
 SHERMAN OAKS, CA 91403

Date Mailed: 04/16/2001

NOTICE OF OMITTED ITEM(S) IN A NONPROVISIONAL APPLICATION

05/16/2001 DTESEM1 00000008 09771537

FILED UNDER 37 CFR 1.53(b)

01 FC:122

130.00 OP

A filing date has been accorded to the above-identified nonprovisional application papers; however, the following item(s) appear to have been omitted from the application:

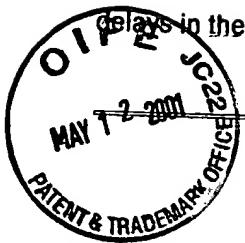
- Figure(s) Fig 10 described in the specification.

I. Should applicant contend that the above-noted omitted item(s) was in fact deposited in the U.S. Patent and Trademark Office (USPTO) with the nonprovisional application papers, a copy of this Notice and a petition (and \$130.00 petition fee (37 CFR 1.17(h))) with evidence of such deposit **must** be filed within **TWO MONTHS** of the date of this Notice. The petition fee will be refunded if it is determined that the item(s) was received by the USPTO.

II. Should applicant desire to supply the omitted item(s) and accept the date that such omitted item(s) was filed in the USPTO as the filing date of the above-identified application, a copy of this Notice, the omitted item(s) (with a supplemental oath or declaration in compliance with 37 CFR 1.63 and 1.64 referring to such items), and a petition under 37 CFR 1.182 (with the \$130.00 petition fee (37 CFR 1.17(h))) requesting the later filing date **must** be filed within **TWO MONTHS** of the date of this Notice.

III. The failure to file a petition (and petition fee) under the above options (I) or (II) within **TWO MONTHS** of the date of this Notice (37 CFR 1.181(f)) will be treated as a constructive acceptance by the applicant of the application as deposited in the USPTO. **THIS TWO MONTH PERIOD IS NOT EXTENDABLE UNDER 37 CFR 1.136(a) OR (b).** In the absence of a timely filed petition in reply to this Notice, the application will maintain a filing date as of the date of deposit of the application papers in the USPTO, and original application papers (i.e., the original disclosure of the invention) will include only those application papers present in the USPTO on the date of deposit.

In the event that applicant elects not to take action pursuant to options (I) or (II) above (thereby constructively electing option (III)), amendment of the specification to renumber the pages consecutively and cancel incomplete sentences caused by any omitted page(s), and/or amendment of the specification to cancel all references to any omitted drawing(s), relabel the drawing figures to be numbered consecutively (if necessary), and correct the references in the specification to the drawing figures to correspond with any relabelled drawing figures, is required. Any drawing changes should be accompanied by a copy of the drawing figures showing the proposed changes in red ink. Such amendment and/or correction to the drawing figures, if necessary, should be by way of preliminary amendment submitted prior to the first Office action to avoid



delays in the prosecution of the application.

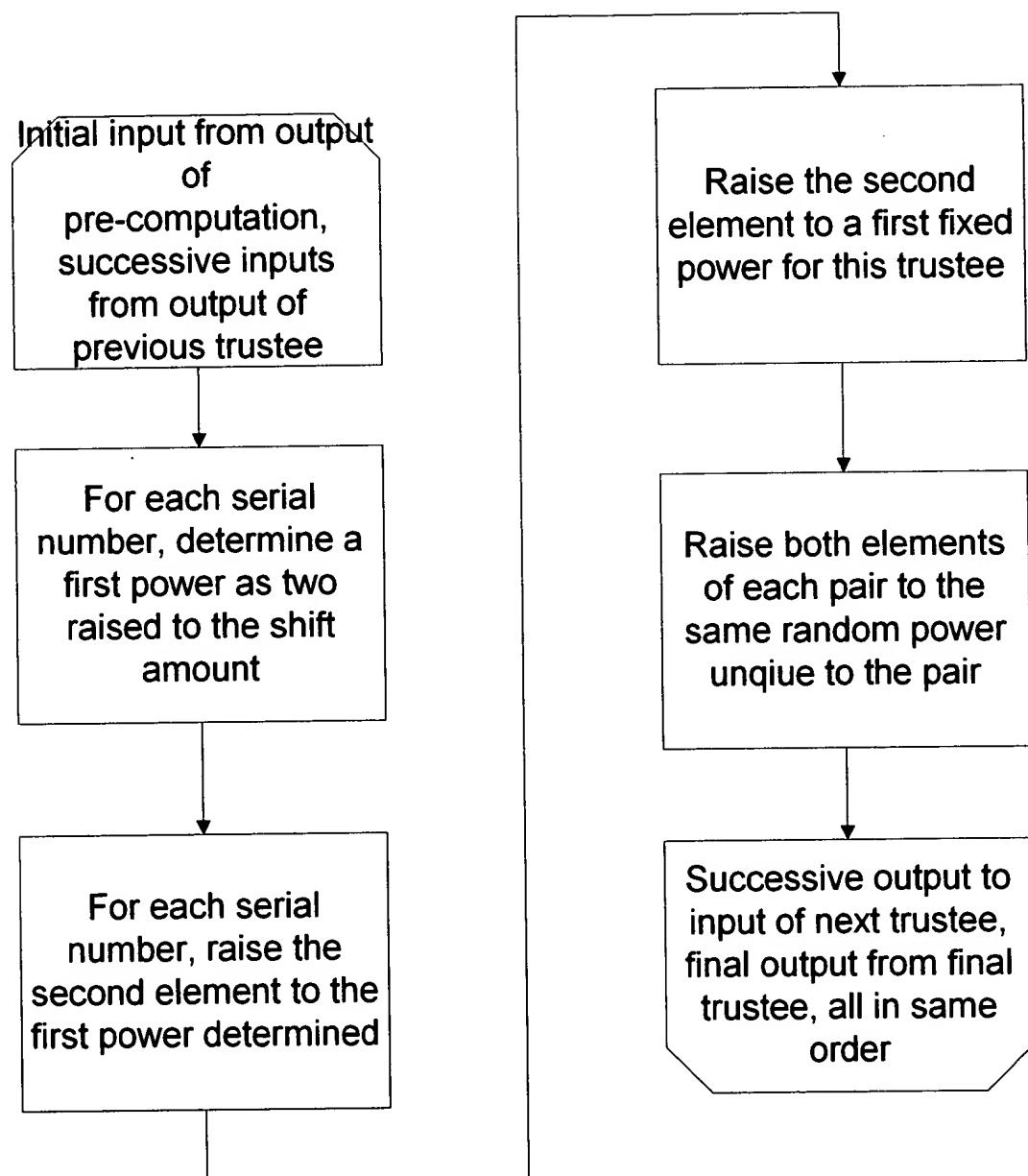
*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature, possibly "JL", is written over a horizontal line.

Customer Service Center
Initial Patent Examination Division (703) 308-1202

PART 1 - ATTORNEY/APPLICANT COPY

First Pass





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526 Rec'd PCT/PTO 29 JAN 2001

2255 FEES

PCT REQUEST PCT/US 01/02883

146 PAGES SPEC

41 PAGES FIG.

2 PAGES CLAIMS

1 PAGE ABSTRACT

CERTIFICATE OF MAILING

29 JAN 2001: EF411498018US

✓

18 PAGES SPECIFICATION
12 DRAWINGS

875 -

SAYAN ENTITY
PROVISIONAL

JC553 U.S. PTO
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01/27/00



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